

U.S. DEPARTMENT OF AGRICULTURE
GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION
PACKERS AND STOCKYARDS PROGRAMS

ANNUAL REPORT OF PACKERS

OMB Control No. 0580-0015

Response is required by law to ensure compliance with the Packers and Stockyards Act, 1921, as Amended and Supplemented. Failure to report after notice of default will result in forfeiture to the United States the sum of \$110 for each and every day of the continuance of such failure.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0580-0015. The time requested to complete this information is estimated to average 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

1a. REPORT FOR YEAR ENDED:

INSTRUCTIONS: This report must be filed by any PACKER, as that term is defined in the Packers and Stockyards Act, 1921, as Amended and Supplemented, and must be filed not later than April 15 following the calendar year end or 90 days after the close of fiscal period if business is on other than a calendar year basis. If space provided in any item is not sufficient, attach additional sheets containing the information and make reference to the relevant section and item number.

SEE ENCLOSED INSTRUCTIONS BEFORE COMPLETING THIS REPORT.

1b. IF NOT FOR CALENDAR YEAR, INDICATE PERIOD COVERED

RETURN COMPLETED REPORT TO THE APPROPRIATE REGIONAL OFFICE; SEE SEPARATE INSTRUCTIONS FOR INFORMATION.

SECTION 1

CERTIFICATION: I certify that this report has been prepared by me or under my direction, and that to the best of my knowledge and belief, this report correctly reflects the operations of the reporting packer.

2. DATE

3a. TITLE

3b. SIGNATURE (Owner, partner, or corporate officer if a corporation)

SECTION 2. GENERAL INFORMATION

4. NAME AND ADDRESS OF PACKER (Include ZIP code)

5. TELEPHONE NUMBER

6. TYPE OF ORGANIZATION (check one)

☐ L.L.C.

☐ INDIVIDUAL ☐ CORPORATION

☐ L.L.P.

Fax Number

☐ PARTNERSHIP ☐ OTHER (specify) _____

7. State Incorporated/Registered/Formatted

8. Date Incorporated/Registered/Formatted

9. DID ANY CHANGE IN ORGANIZATION TAKE PLACE DURING THE YEAR?

☐ YES ☐ NO

10. IF YES, GIVE DETAILS

11. DID YOU ACQUIRE ANY PLANTS THROUGH PURCHASE, MERGER, LEASE, ETC., DURING REPORTING PERIOD?

☐ YES ☐ NO

12. DID YOU SELL OR LEASE ANY PLANTS TO OTHERS DURING REPORTING PERIOD?

☐ YES ☐ NO

13. DID YOU OPEN OR PERMANENTLY CLOSE ANY PLANTS DURING REPORTING PERIOD?

☐ YES

☐ NO

14. IF YES, FSIS ESTABLISHMENT NUMBER AND TYPE OF CHANGE

15. ACTIVITIES ENGAGED IN:

☐ Slaughtering

☐ Fabricating

☐ Further Processing

16. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS

a. NAME	b. TITLE	c. PERCENT OF OWNERSHIP	d. SOCIAL SECURITY NUMBER*	e. MAILING ADDRESS (Street and no., city, State, and ZIP code)

*The Privacy Act of 1974 requires this Agency to inform applicant that disclosures of social security numbers are optional and that the information sought on this form is required by 9 CFR 201.97. The sole use of the social security number(s) sought on this form is to distinguish between individuals that have identical or similar names. As this Agency maintains a large volume of names, packers are encouraged to supply social security numbers.

17. IF REPORTING COMPANY IS OWNED OR CONTROLLED BY ANY CORPORATION OR INDIVIDUAL OTHER THAN THOSE LISTED UNDER ITEM 16, ABOVE, GIVE NAME AND ADDRESS OF CONTROLLING CORPORATION OR INDIVIDUAL

18. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE INCLUDED IN THIS REPORT (Include in all appropriate portions of report TOTAL operations of ALL subsidiaries and affiliated companies listed in this item.)

a. NAME OF COMPANY	b. LOCATION (City and State)	c. NATURE OF BUSINESS	d. PERCENTAGE OF CONTROL BY REPORTING COMPANY

19. SUBSIDIARIES AND AFFILIATED COMPANIES WHOSE OPERATIONS ARE NOT INCLUDED IN THIS REPORT (Except for their feeding activities reported in Section 6.)

a. NAME OF COMPANY	b. LOCATION (City and State)	c. NATURE OF BUSINESS	d. PERCENTAGE OF CONTROL BY REPORTING COMPANY

20. OWNERS, PARTNERS, OFFICERS, AND DIRECTORS OF REPORTING COMPANY WHO ARE PARTNERS, OFFICERS, OR DIRECTORS IN, OR CONTROL OTHER FIRMS ENGAGED IN SLAUGHTERING LIVESTOCK OR POULTRY; MANUFACTURING OR PREPARING MEAT OR MEAT FOOD PRODUCTS; MARKETING AT WHOLESALE OR RETAIL, MEAT FOOD PRODUCTS; OPERATING A STOCKYARD, MARKET AGENCY, OR DEALER ORGANIZATION HANDLING LIVESTOCK OR LIVE POULTRY; OR FEEDING LIVESTOCK OR POULTRY.

[illegible]

21. DO YOU FABRICATE STEER AND HEIFER CARCASSES INTO BOXED BEEF? ☐ YES ☐ NO
(IF YES, COMPLETE THIS SECTION)

22a. NAME AND LOCATION OF PLANT	22b. INSPECTION NUMBER	NUMBER OF CARCASSES FABRICATED INTO BOXED BEEF		22e. TOTAL POUNDS OF BOXED BEEF (exclude trimmings and ground beef)
		22c. FROM OWN SLAUGHTER	22d. FROM OUTSIDE PURCHASES	
TOTAL				

23. DO YOU FABRICATE COW AND BULL CARCASSES INTO BOXED BEEF? ☐ YES ☐ NO
(IF YES, COMPLETE THIS SECTION)

24a. NAME AND LOCATION OF PLANT	24b. INSPECTION NUMBER	NUMBER OF CARCASSES FABRICATED INTO BOXED BEEF		24e. TOTAL POUNDS OF BOXED BEEF (exclude trimmings and ground beef)
		24c. FROM OWN SLAUGHTER	24d. FROM OUTSIDE PURCHASES	
TOTAL				

25. DO YOU CUT OR FURTHER PROCESS HOG CARCASSES? ☐ YES ☐ NO (IF YES, COMPLETE THIS SECTION)

[illegible]

ALL LIVESTOCK SLAUGHTERED BY PLANT (Including item 28 of this section)							
27a. NAME AND LOCATION OF PLANT	27b. FSIS Establishment # (or State equivalent)	NUMBER OF HEAD SLAUGHTERED					
		27c.		27d. CALVES	27e. HOGS	27f. SHEEP	27g. OTHER
		Steers and Heifers	Cows and Bulls				
TOTAL							
28. LIVESTOCK CUSTOM SLAUGHTERED BY YOU FOR OTHERS (Report for individual firms for whom you slaughtered 100 head or more)							
NAME AND LOCATION OF FIRMS FOR WHOM SLAUGHTERED							
TOTAL VOLUME OF INDIVIDUAL FIRMS SLAUGHTERED LESS THAN 100 HEAD							
TOTAL							
29. LIVESTOCK CUSTOM SLAUGHTERED FOR YOU BY OTHERS (Report for individual firms who slaughtered 100 head or more for you)							
NAME AND LOCATION OF FIRMS WHO SLAUGHTERED FOR YOU							
TOTAL VOLUME OF INDIVIDUAL FIRMS SLAUGHTERED LESS THAN 100 HEAD							
TOTAL							
30. LIST TOP THREE OUT-OF-STATE CUSTOMERS, BY DOLLAR VOLUME, YOU SOLD EDIBLE PRODUCT TO DURING THE YEAR							
NAME	ADDRESS				DOLLAR VOLUME		

SECTION 5. PROCUREMENT OF AND LIVESTOCK FOR SLAUGHTER. Include your slaughter livestock procurement activities and those of the subsidiaries and affiliated companies reported in line 18. If you reported two or more plants under line 27, also submit a separate Supplemental Report Form P&SP-3100 for each plant. Line 31 through 41, report numbers of livestock by category.

ITEM LIVESTOCK PURCHASED BOTH LIVE WEIGHT AND CARCASS WEIGHT PURCHASES	NUMBER OF HEAD					
	Steers and Heifers	Cows and Bulls	CALVES	HOGS	SHEEP	OTHER
31. LIVESTOCK ON HAND FOR SLAUGHTER OR FEEDING AT THE BEGINNING OF THE REPORTING PERIOD						
32. LIVESTOCK TRANSFERRED FROM FEEDING						
33. LIVESTOCK PURCHASED FOR SLAUGHTER DIRECTLY AT THE PACKING PLANT, BUYING STATIONS, COUNTRY POINTS, AND FEEDLOTS						
34. LIVESTOCK PURCHASED AT AUCTION MARKETS, VIDEO SALES, AND TERMINAL STOCKYARDS						
35. LIVESTOCK PURCHASED FROM OTHER PACKERS						
36. LIVESTOCK SOLD						
37. LIVESTOCK ON HAND FOR SLAUGHTER OR FEEDING AT THE END OF THE REPORTING PERIOD						
38. TOTAL HEAD OF LIVESTOCK SLAUGHTERED						
39. OF TOTAL LIVESTOCK SLAUGHTERED, NUMBER PURCHASED AND PAID FOR ON A DRESSED WEIGHT OR A CARCASS MERIT BASIS						
40. DO YOU OWN OR OPERATE A SCALE ON WHICH YOU WEIGH LIVESTOCK PURCHASED FOR SLAUGHTER ? <input type="checkbox"/> YES <input type="checkbox"/> NO						
41. DO YOU USE ANY TYPE OF ELECTRONIC EVALUATION DEVICE (other than a scale) FOR PAYMENT DETERMINATION? <input type="checkbox"/> YES <input type="checkbox"/> NO (IF YES, TYPE OF EQUIPMENT AND MANUFACTURER.)						

SECTION 6. LIVESTOCK FEEDING AND CONTRACTING ACTIVITIES (include your domestic livestock feeding activities and those of the subsidiaries and affiliated companies reported in line 18.) Also complete a separate supplement (form P&SP-3100) for each plant per type of livestock.

42. LIVESTOCK FED BY YOU	NUMBER OF HEAD (removed from feed for slaughter during reporting period)				
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP

43. LIVESTOCK OWNED BY YOU, BUT FED BY OTHERS	NUMBER OF HEAD (removed from feed for slaughter during reporting period)				
	STEERS AND HEIFERS	COWS AND BULLS	CALVES	HOGS	SHEEP

44. CONTRACTING ACTIVITIES. (To be completed for all plants where firm's annual total slaughter is greater than 100,000 steers and heifers, 100,000 sheep, or 1,000,000 hogs)

44a.	DELIVERY DATE	44b. FORWARD CONTRACTS	44c. MARKETING AGREEMENTS	44d. PACKER FED	44e. OTHER	44d. TOTAL SLAUGHTERED FOR MONTH
	JANUARY					
	FEBRUARY					
	MARCH					
	APRIL					
	MAY					
	JUNE					
	JULY					
	AUGUST					
	SEPTEMBER					
	OCTOBER					
	NOVEMBER					
	DECEMBER					
44g.	TOTAL					

- a. FIXED PRICE OR BASIS CONTRACT
- b. AGREEMENT TO PURCHASE LIVESTOCK AT A FUTURE DATE WITH THE PRICE TO BE DETERMINED AT OR AFTER THE TIME OF SLAUGHTER
- c. INCLUDE ALL COMPANY-OWNED LIVESTOCK FED FOR SLAUGHTER, WHETHER CUSTOM FED OR FED IN A COMPANY OWNED OR OPERATED LOT AND ANY PARTNERSHIP, JOINT VENTURE, OR OTHER FEEDING ARRANGEMENT
- d. ANY LIVESTOCK PURCHASED OVER TWO WEEKS IN ADVANCE OF SLAUGHTER AND NOT LISTED IN COLUMNS b, c, or d

SECTION 7. FINANCIAL INFORMATION (Complete this Section as of the end of the reporting period including financial information for all subsidiaries and affiliated companies listed in line 18. *IN ADDITION*, complete this Section for *EACH* subsidiary and affiliated company engaged in slaughtering livestock.)

NOTE: A copy of financial statements or audit report, if available for the period covered by this report, may be furnished in lieu of the Balance Sheet and Statement of Income information below, provided that information requested herein but not shown in such statements or reports is furnished under this section.

SUBSIDIARY NAME (if applicable)	Fiscal Year Ending
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45. STATEMENT OF INCOME

A. SALES

Gross Sales Less Sales Returns and Allowances:

Meat and Meat Food Products (Include all edible products and byproducts)	\$		101	
Other (Include all other sales)			102	
		NET SALES	103	\$

B. COST OF SALES

Opening Inventory	\$		104	
Livestock Purchases			105	
Meat and Meat Food Products Purchased			106	
Other Purchases			107	
	\$		108	
Less: Closing Inventory			109	
		TOTAL COST OF SALES	110	\$
		GROSS INCOME	111	\$

C. OPERATING EXPENSES

Manufacturing	\$		112	
Advertising			113	
Sales Promotion			114	
Sales Brokerage			115	
Selling (other than above)			116	
Delivery			117	
General and Administrative			118	
Depreciation and Amortization			119	
Interest			120	
Consulting Fees			121	
Other			122	
		TOTAL OPERATING EXPENSES	123	\$
		OPERATING INCOME (LOSS)	124	\$

D. OTHER INCOME

Interest	\$		125	
Other (Specify)			126	
			127	
		TOTAL OTHER INCOME	128	\$
		TOTAL INCOME	129	\$

E. DEDUCTIONS

(Specify)	\$		130	
			131	
		TOTAL DEDUCTIONS	132	\$
		INCOME (LOSS) BEFORE INCOME TAXES	133	\$

F. PROVISION FOR INCOME TAXES

Current	\$		134	
Deferred			135	
		TOTAL INCOME TAX	136	\$
		NET INCOME (LOSS)	137	\$

G. Retained Earnings/Owners' Capital at Beginning of Period

Additions to Owners' Capital -- if unincorporated (+)	\$		138	\$
Dividends Declared/Owners' Withdrawals (-)			139	\$
Other Deductions (Specify) (-)			140	
			141	
		NET ADJUSTMENT	142	\$
Retained Earnings/Owners' Capital at End of Period			143	\$

SECTION 7. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (if applicable)

Fiscal Year Ending

46. BALANCE SHEET -- ASSETS

A. CURRENT ASSETS

Cash on Hand and in Banks	\$	201
(Enter overdraft on next page under Current Liabilities)			
Temporary Cash Investments (Marketable securities, certificates of deposit, commercial paper, etc)	202
Accounts Receivable			
From Trade	203 \$		
From Others (Owners, officers, employees, subsidiaries and affiliated companies)	204		
	205		
Less Allowance for Doubtful Accounts	206		207
Notes and Loans Receivable (Due within 1 year)			
Due from Subsidiaries and Affiliated Companies	208 \$		
Due from Owners, Directors, Officers, Stockholders	209		
Due from Others	210		211
Inventories			
Livestock	212 \$		
Meat and Meat Food Products	213		
Other Inventories	214		215
Advances Made on Livestock and Meat		216
Prepaid Expenses (Rent, Insurance, etc.)		217
Other Current Assets		218
TOTAL CURRENT ASSETS\$			219

B. INVESTMENTS

Subsidiaries and Affiliated Companies (line 18 only)	\$	220
Other		221
TOTAL INVESTMENTS\$			222

C. FIXED ASSETS

Land	\$	223
Buildings, Equipment, and Other Fixed Assets	224 \$		
Less Accumulated Depreciation and Amortization	225		226
NET FIXED ASSETS\$			227

D. OTHER ASSETS

Notes Receivable (Due after 1 year)	\$	228
Goodwill, Patents, Trademarks		229
Other Assets (Specify)		230
		231
TOTAL OTHER ASSETS\$			232

TOTAL ASSETS\$ 233

SECTION 7. FINANCIAL INFORMATION (continued)

SUBSIDIARY NAME (If applicable)

Fiscal Year Ending

47. BALANCE SHEET - LIABILITIES AND OWNERS' EQUITY

A. CURRENT LIABILITIES

Bank Overdraft (Per books)		\$	301
Accounts Payable - Livestock			302
Accounts Payable- Meat and Other			303
Notes and Loans Payable (Due within 1 year)			
Due to Banks, Financing and Lending Companies	304	\$	
Due to Subsidiaries and Affiliated Companies	305		
Due to Owners, Directors, Officers, Stockholders	306		
Due to Others	307		308
Accrued Liabilities			309
Accrued Federal and Other Income Taxes			310
Accrued Other Taxes			311
Other Current Liabilities			312
TOTAL CURRENT LIABILITIES\$			313

B. LONG-TERM LIABILITIES (Due after 1 year)

Due to Banks, Financing and Lending Companies		\$	314
Due to Subsidiaries and Affiliated Companies			315
Due to Owners, Directors, Officers, Stockholders			316
Due to Others			317
TOTAL LONG-TERM LIABILITIES\$			318
TOTAL LIABILITIES\$			319

C. DEFERRED INCOME TAXES

			320
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D. OWNERS' EQUITY

If Incorporated:

Capital Stock - Preferred and Common			321
Additional Paid-In Capital			322
Retained Earnings	323	\$	
Less Cost of Treasury Stock	324		
Total Retained Earnings			325

If Unincorporated

Owners' Capital - - Net of Withdrawals		\$	326
TOTAL OWNERS' EQUITY\$			327
TOTAL LIABILITIES & OWNERS' EQUITY\$			328

E. CONTINGENT LIABILITIES (Attach explanation)

48. PACKERS BUYING LIVESTOCK FOR SLAUGHTER MUST COMPLETE LINES 48 THROUGH 58.

BOND INFORMATION

NOTE: Include Total Purchase Price of ALL Livestock Purchased

1. Total livestock purchased for feeding		401
2. Total livestock purchased for slaughter		402
TOTAL LIVESTOCK PURCHASED	\$	403

ACCOUNTS RECEIVABLE AND/OR INVENTORY FINANCING ARRANGEMENT(S)

49. As of the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged or assigned as collateral under a financing or loan agreement? (If answer is YES, complete questions 2 through 9 and fully explain)

☐ YES ☐ NO

50. Name and address of financial lending institutions having security interests

51. Are Inventories collateralized under the agreement?

☐ YES ☐ NO

52. Are Accounts Receivable collateralized under the agreement?

☐ YES ☐ NO

53. Enter borrowing limit under the agreement

\$ _____

54. Enter borrowing payable as of the end of the reporting period

\$ _____

55. Are any Company owners, directors, officers, or stockholders named as a guarantor under the agreement?

☐ YES ☐ NO ☐ NOT APPLICABLE

56. If Company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement?

☐ YES ☐ NO ☐ NOT APPLICABLE

57. Restrictions or covenants under financing or loan agreement

- (a) Minimum Cash balance to be maintained on deposit \$ _____
- (b) Minimum working capital ratio to be maintained (give ratio) _____
- (c) Others (describe) _____

58. Name and location of banks used for livestock payments (Attach list if additional space is needed)